

A Model for Ethical Decision Making

Lawrence Garetto, Ph.D.
Karen Yoder, Ph.D.
Indiana University School of Dentistry

Four Component Model of Ethical Actions

- ▶ Sensitivity to ethical issues
- ▶ Ethical reasoning and judgment
- ▶ Ethical motivation
- ▶ Ethical implementation
 - Decision and choice to act

Rest, 1983

A Model of Ethical Decision Making

- ▶ Recognizing the problem or concern
- ▶ Identifying possible alternatives
- ▶ Determining what is professionally at stake
- ▶ Determining what else is ethically at stake
- ▶ Determining what ought to be done
- ▶ Choosing a course of action

Ozar and Sokol, 2004

Recognizing the Problem or Concern – Case 1 (USA)

- ▶ Conflict of focus between advisor and students
- ▶ Care advocated by faculty
 - Existing program
 - At-risk/in-need group BUT not focused on “working poor”
 - Comprehensive care with capacity for follow-up
- ▶ Care advocated by students
 - Not served by existing program
 - Poorly served group
 - High profile, but one-time care with no commitment for follow-up
- ▶ Community involvement in planning?

Identifying the Alternatives

- ▶ What courses of actions are available?
 - Sometimes very evident...no careful thought needed
 - Other times very difficult because our own habitual ways of perceiving and acting can cloud our vision of options
 - Need to consider potential outcomes and how these might affect future choices

Identifying the Alternatives

- ▶ Continue participation in existing program
- ▶ Agree to participate in one-time event
- ▶ Do both
- ▶ Expand existing program so as to include additional at-risk group of individuals
- ▶ Develop pool of practitioners to provide follow-up care
- ▶ Educate students about one-time care concerns
- ▶ Ask the community for input

Determining What is Professionally at Stake

- ▶ Based on the principles/norms/values of the profession, what ought to or ought not be done
 - If based on these, judgments can be more precise and made with greater confidence

Determining What is Professionally at Stake

- | | |
|--|--|
| <ul style="list-style-type: none"> ◦ General/oral health ◦ Nonmaleficence ◦ Justice ◦ Beneficence <ul style="list-style-type: none"> • (vs. benevolence) ◦ Patient Autonomy | <ul style="list-style-type: none"> ◦ Work to achieve community health ◦ Priorities developed with input from community ◦ Basic resources for health accessible to all |
|--|--|

Principles/Values

Public Health

Determining What Else is Ethically at Stake

- ▶ Broader view of what should or should not be done
- ▶ Moral content of the individual
 - We all interpret central values/ethical codes and make choices as individuals
- ▶ Conflicts among professional commitments
- ▶ Specific case circumstances that are unique to the situation at hand

Determining What Else is Ethically at Stake

- | | |
|---|---|
| ▶ Throughout the state | ▶ Single site |
| ▶ Chronic care with follow-up | ▶ Uncertain follow-up capacity |
| ▶ Care for at-risk group | ▶ Care for at-risk group |
| ▶ History predicts future? | ▶ No history, future? |
| ▶ Opportunity <ul style="list-style-type: none"> ◦ Advocacy ◦ Education | ▶ Opportunity <ul style="list-style-type: none"> ◦ Advocacy ◦ Education |

Existing program

Proposed Event

Determining What Ought to be Done

- ▶ Ranking the alternatives
 - Is one clearly better than all the rest?
 - If complex, then the choice realistically becomes a choice between alternative values, rules, virtues and professional norms
- ▶ If able to rank them as above, then can distinguish:
 - what could be done
 - what absolutely should not be done

Implementation: Choosing a Course of Action

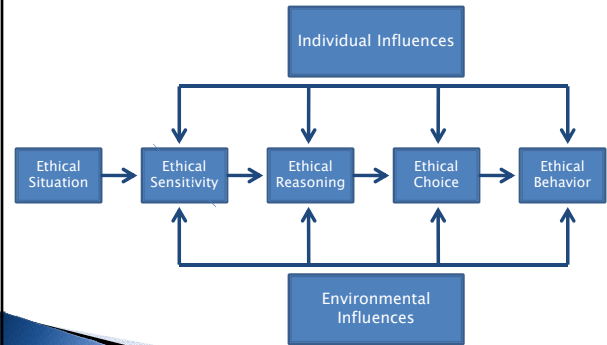
- ▶ Deciding and choosing to act are different
 - WHY?
- ▶ Could identify what *should* be done, but then:
 - Not do it
 - Do something different
- ▶ *Implementation* is a two step process
 - Determining what should be done
 - Choosing to do

Recognizing the Problem or Concern – Case 2 (International)

- ▶ Failure to address licensure
- ▶ Plan to deliver care is beyond scope of ethical and safe practice
 - Pre-dental students doing extractions and in charge of infection control

- ▶ Does this case represent an ethical dilemma?

Ethical Decision Making



Why do Professionals Sometimes Fail in Their Responsibility?

- ▶ Don't recognize the ethical issue
- ▶ Defective reasoning
- ▶ Lack of clarity about professional obligations
- ▶ Lack of courage or "know-how" to resolve a problem effectively or failure to act

- ▶ Lack of commitment to professional ideals
- ▶ Deficiencies of character and competence

taken from work by James Rest (1983), Muriel Bebeau and colleagues

Resources for Professional Ethics in Dentistry

- ▶ Dental Ethics at Chairsides
 - David Ozar and David Sokol, 2004
- ▶ Ethical Questions in Dentistry
 - James Rule and Robert Veach, 2004
- ▶ Ethics and Law in Dental Hygiene
 - Phyllis Beemsterboer, 2010